

Specifications: test procedures and acceptance criteria for new drug substances and new drug products: chemical substances

ICH Guidelines Q6A (www.ich.org)

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Definitions and general concepts

Specifications and acceptance criteria

Specification: A list of tests, references to analytical procedures, and appropriate acceptance criteria.

It establishes the set of criteria to which a drug substance or drug product should conform to be considered acceptable for its intended use.

Acceptance criteria: Numerical limits, ranges, or other suitable measures for acceptance of the results of analytical procedures.

➤ Example:

➤ Assay acceptance criteria 90-110%

➤ 110.5% → pass or fail??

30/ Oct

« بحسب الله الرحمن الرحيم »

« Specification »

شخصية لكتورة الفرق بين Specifications و Certificate of analysis
 اختصاره (COA) وهما عبارة عن مستند نستخدمهم حتى نقيم جودة
 المنتجات .. في إختلاف بينهم من ناحية الهدف والمحتوى :-

Specification مجموعة من المتطلبات المحددة يلي لادزم المنتج
 عندي يكون مستوفيه .. وهي دليل مرجعي حتى أهدد ما إن كانت
 المنتج عندي يتوافق مع المعايير يلي طالينها.

COA عبارة عن شهادة تحليل تظهر عندي تأكيد تام إنه المنتج
 الفعلي تم فحصه ويظهر جوانبه اللاتجارية يلي أهدريت.
 وتحتوي أيضًا على Limit المقبولة لهاد Test.

حسبنا عن ال Specification وإنه يكون عندي جداول بطن
 فيها المواد ، سواد كانت مواد فعالة أو مواد عادية (Drug product)
 عندي نستعمل كلمة Specification بـ Acceptable Criteria ، مثلاً
 عندي جدول حاكمي فيه إنه نسبة السوائب بالمنتج عندي مالحزم شدي
 1% .. هاي هي acceptable Criteria .

عندي بالسلايد مثال تاع Assay acceptance criteria
 90-110%

لو كانت 110.5% طبعًا انا Fail.

Specifications and acceptance criteria

Example: Drug product specifications

Attribute	Acceptance Criteria (typical values)	Analytical Procedure (for example)
Identity	Matches Standard	IR or HPLC/UV
Appearance	Color, Imprint	Visual
Assay	90-110%	HPLC
Dose Uniformity	Statistical Criterion (USP)	HPLC or Weight
Release from Dosage Form	80% in 15 or 30 minutes	Stirred Aqueous Vessel
Impurities (Related Substances)	<1% to few %	HPLC
Microbial Limits Or Sterility	# of total aerobes and fungi per gram Pathogen (-)	Growth in special media
Water Content	Few %	Chemical or wgt. loss
Preservative Content	NLT 75% of Initial	HPLC

Specifications and acceptance criteria

Example: Drug product specifications

Table 2: Example of solid oral drug product specifications for early development.

Test Name	Test Method	Acceptance Criteria
Description		
Shape	Visual	Product specific shape and colour
Colour		
Identity by HPLC	HPLC	Consistent with reference*
Identity by UV	HPLC–PDA	Consistent with reference*
Assay by HPLC (% label claim)	HPLC	90.0–110.0%*
Degradation products by HPLC		
Individual unspecified	HPLC	≤1.0%*
Total		≤5.0%*
Dissolution	HPLC or UV	Report*
Disintegration	USP<701>	≤15 min
Uniformity of dosage units	HPLC or weight variation	Corresponds to USP<905>*

Specifications and acceptance criteria

- "Conformance to specifications" means that the drug substance and / or drug product, when tested according to the listed analytical procedures, will meet the listed acceptance criteria.
- Specifications are critical quality standards that are proposed and justified by the manufacturer and approved by regulatory authorities.

Specifications and acceptance criteria

- Specifications should focus on those characteristics found to be useful in ensuring the safety and efficacy of the drug substance and drug product.

- The quality of drug substances and drug products is determined by :
 1. their design,
 2. development,
 3. in-process controls,
 4. GMP controls,
 5. process validation, and
 6. by specifications applied to them throughout development and manufacture.

بم تماشى

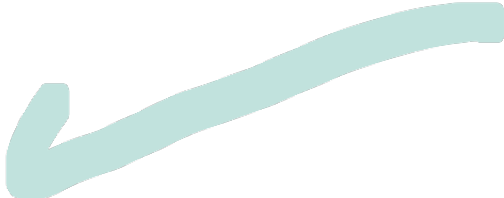
عندي مفهوم الاسم specification to Conformance وفتحنا إنه
 product تابعي لما إيهيت إهله سواء المادة الفعالة تابعته أو شوماكان
 لازم يكون متوافق مع ال specification

وقعت عندي تعريف واضح إنه .. إنه لازم هاي المعايير تكون
 موافق عليها من قبل الجهات التنظيمية.

وهذه الالتزام بالمعايير تحت أذن الدعام والفعالية للدواء ..

يهيئ توحيد هاي المعايير ومن الالزام فيها من خلال إنفاذ
 المذكورة قاعدها GMP C .. ال Design .. ال Development

Definitions



Specific test: A test which is considered to be applicable to particular new drug substances or particular new drug products depending on their specific properties and/or intended use.

Universal test: A test which is considered to be potentially applicable to all new drug substances, or all new drug products; e.g., appearance, identification, assay, and impurity tests.

Definitions



New drug substance: The designated therapeutic moiety, which has not previously been registered in a region or Member State (also referred to as a new molecular entity or new chemical entity).

➤ It may be a complex, simple ester, or salt of a previously approved drug substance.

New drug product: A pharmaceutical product type, for example, tablet, capsule, solution, cream, etc., which has not previously been registered in a region or Member State, and which contains a drug ingredient generally, but not necessarily, in association with excipients.

2010 Recognition of Monograph and Reference Material Donors

USP acknowledges and appreciates the support of the following companies that participated in the USP standards-setting process by providing information for the development of new monographs or by donating reference standard candidate materials. The companies listed are those that either provided monographs that were published as proposals in 2010 or donated reference material candidates that were released as USP Reference Standards in 2010.

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GENERAL CONCEPTS

Periodic or Skip Testing

- Periodic or **skip testing** is the performance of specified tests at release on **pre-selected batches and / or at predetermined intervals**, **rather than on a batch-to-batch basis** with the understanding that those batches not being tested still must meet all acceptance criteria established for that product.
- This represents a less than full schedule of testing and should therefore be justified and presented to and approved by the regulatory authority prior to implementation.
- This concept may be applicable to, for example, **residual solvents** and **microbiological testing** for solid oral dosage forms.

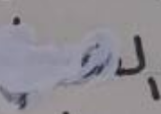
GENERAL CONCEPTS

Periodic or Skip Testing

- This concept should generally be implemented post-approval.
- When tested, any failure to meet acceptance criteria established for the periodic test should be handled by proper notification of the appropriate regulatory authority(ies).
- If these data demonstrate a need to restore routine testing, then batch by batch release testing should be reinstated.

* Periodic or skip testing

← الـ Periodic عبارة عن Test يعلوه كل فترة معينة من السنة على المنتج داعمي حتى أتأكد إنه أموره تمام
 ← Skip عبارة عن إختبار برضه بس ما يكون قد د إله وقت معين لا جبراده
 نعمل بشكل عشوائي، لأنه الثقة بالمنتج كيش عالية وما في داعي لـ Test دوري إله

← من الأمثلة على هاي لـ Test هو "Residual solv" مثلاً جيت
 Active ingredient وفحصت فيها الـ Organic solv لمعت تحتها كذا
 ، ورجعت صغت Tablet، هل من الضروري أرفع افحص الـ solv
 مرات مرة؟ لا .. هاي هي Skip والـ Periodic
 وختات عندي الـ microbiological لـ Test هاي ..
 مثلاً الـ Solid dosage، كل منتج عندي فيه أوكل مادة فيه وفحصت
 الميكروبات فيه .. في داعمي أرفع افحصها بعد الـ 
 Final product.

ولاحظوا حاكين Solid dosage oral، يعني لو خلك 10 راح تطحنهم
 المعينة.

لو تطلب مني أرفع أعمل Test+ حيكون Periodic يعني كل 6 أشهر
 مرة مثلاً وهكذا.

GENERAL CONCEPTS

Release Acceptance Criteria vs. Shelf-life Acceptance Criteria

- The concept of different acceptance criteria for **release vs. shelf-life specifications** applies to drug products only.
- It pertains to the establishment of more restrictive criteria for the release of a drug product than are applied to the shelf-life.
- Examples where this may be applicable include assay and impurity (degradation product) levels.
-

GENERAL CONCEPTS

Release Acceptance Criteria vs. Shelf-life Acceptance Criteria

- In Japan and the United States, this concept **may only be applicable to in-house criteria**, and not **to the regulatory release criteria**.
- However, an applicant may choose to have tighter in-house limits at the time of release to provide increased assurance to the applicant that the product will remain within the regulatory acceptance criterion throughout its shelf-life.

Acceptance Criteria

بعض تكنسيوا ال Tablets لو هذ فانتهم عنيات ويروها يخلوها،
 ويصروا اذا هي pass ولا فشلت عندي...
 شوي ر Shelf-life Acceptance Criteria هي يلي تسجلها بار FDA
 يلي هي ال Specifications نفسها... يعني تيجي ال FDA تسحب
 عينة من السوق فجأة ونشوف هل تتوافق مع المعايير اولي عندهم
 بالملف... بله "الطباعة" ال FDA... ال FDA... ال FDA...

أما ال Release Acceptance Criteria هي لا تباش تصنع بالمواد يعني لما يواد
 يكون عمره zero، بدهم يطلوه... غشاش يكون Release (مقبول)
 أو صروفهن... إذا Release خلاص يعلوا إلها Packaging
 ويودها على FDA حتى تنزل على السوق... ال FDA... ال FDA...

خلينا نوضحها أكثر... ال Shelf-life يرجع لـ Limit...
 وانت ممنوع تطلع عن هاد ال range... إذا وانت مهمم بال Quality
 ومهمم فاد صير مشكلت وتظهر تسحب المنتج تبعدك... خلال عملية التطوير
 والتصنيع للمواد... يكون عندك Friability ما تتجاوز 1% مثلاً...
 ال Friability خلال عملية التصنيع للمنتج تاك 1% بالتمام... أي خطا يصير
 راج تتجاوز ال 1%... حتى لو رحت وزعت المنتج تاك على السوق
 خلال عملية النقل وكل الظروف راج يتكسر... المفروض يكون اقل من
 1%... المفروض تخلي ال Target تاك اقل من 1%... هاد هو
 ال release يلي نحتاجه عنه.

GENERAL CONCEPTS

In-process Tests

- **In-process tests**, are tests which may be performed during the manufacture of either the drug substance or drug product, rather than as part of the formal series of tests which are conducted **prior to release**.
- In-process tests which are only used for the purpose of adjusting process parameters within an operating range, **e.g., hardness and friability of tablet cores which will be coated and individual tablet weights**, are not included in the specification.



GENERAL CONCEPTS

In-process Tests

- Certain tests conducted during the manufacturing process, where the acceptance criterion is identical to or tighter than the release requirement, (e.g., pH of a solution) may be sufficient to satisfy specification requirements when the test is included in the specification.
- However, this approach should be validated to show that test results or product performance characteristics do not change from the in-process stage to finished product.

In-process test

هذه عبارة عن إختبارات تُجرى أثناء عملية تصنيع المواد ، سواء لمادة
 مغالطة أو للمنتج مكمل .. هاهي الإختبارات لحقق نضج المنتج أثناء
 إنتاجه مثل متى أقيم الـ *Quality* النهائية .. زي مثلاً *Hardness*
 أو *Friability* صول متى لحقق أقيم جودة المنتج بالنهاية .. بس هقول
 لنضج معايير التصنيع
 برصنا *pH* لا *solubility* نفس الشيء

GENERAL CONCEPTS

Limited Data Available at Filing

- It is recognized that only a limited amount of data may be available at the time of filing, which can influence the process of setting acceptance criteria.
- As a result it may be necessary to propose revised acceptance criteria as additional experience is gained with the manufacture of a particular drug substance or drug product (example: acceptance limits for a specific impurity).

Authorized USP Pending Monograph
Version 1

BRIEFING

Levofloxacin Tablets. This monograph has been posted on the USP Pending Monograph Web page for review and public comments for at least 90 days. No comments were received. The SM1 Expert Committee has approved the monograph as an Authorized USP Pending Monograph. The chromatographic procedure in the Assay is based on analyses performed with an Ace C18 brand of L1 column. The typical retention time for levofloxacin is about 1.9 min. The liquid chromatographic procedure in the test for *Organic Impurities* is based on analyses performed with the Hypersil BDS C18 brand of L1 column. The typical retention time for levofloxacin is about 17 min.

(SM1: B. Davani, M. Marques.)
Correspondence Number—C88216

Levofloxacin Tablets

v.1 Authorized May 1, 2011

DEFINITION

Levofloxacin Tablets contain NLT 90.0% and NMT 110.0% of the labeled amount of levofloxacin ($C_{18}H_{20}FN_3O_4$).

IDENTIFICATION

- A. ULTRAVIOLET ABSORPTION (197U):** Standard solution and Sample solution: Prepare as directed in the test for Dissolution.
- B.** The retention time of the major peak of the Sample solution corresponds to that of the Standard solution, as obtained in the Assay.

ASSAY

LEVOFLOXACIN

Buffer: Dissolve 4 g of monobasic sodium phosphate dihydrate in 500 mL of water. Add 5 mL of triethylamine, and adjust with phosphoric acid to a pH of 5.9. Dilute with water to 1 L.
Diluent: Acetonitrile and Buffer (50:50)
Mobile phase: Methanol and Buffer (40:60)
Standard solution: 0.05 mg/mL of USP Levofloxacin in Diluent. Pass through a suitable filter.
Sample stock solution: 2.0 mg/mL of levofloxacin in Diluent from NLT 20 powdered Tablets. Pass through a suitable filter. Sonicate for 30 min with intermediate shaking to aid in dissolution.

Sample solution: 0.04 mg/mL of levofloxacin in Diluent from the Sample stock solution

Chromatographic system

(See Chromatography (621), System Suitability.)
Mode: LC
Detector: UV 294 nm
Column: 4.6-mm × 5-cm; 3-μm packing L1
Column temperature: 40°
Flow rate: 1 mL/min
Injection size: 5 μL

Run time: 2 times the retention time of levofloxacin

System suitability

Sample: Standard solution

Suitability requirements

Tailing factor: NMT 2.0

Relative standard deviation: NMT 2.0%

Analysis

Samples: Standard solution and Sample solution
Calculate the percentage of the labeled amount of levofloxacin ($C_{18}H_{20}FN_3O_4$) in the portion of Tablets taken:

$$\text{Result} = (r_u/r_s) \times (C_s/C_u) \times 100$$

r_u = peak response from the Sample solution
 r_s = peak response from the Standard solution

Levofloxacin / 1

C_s = concentration of USP Levofloxacin RS in the Standard solution (mg/mL)
 C_u = nominal concentration of levofloxacin in the Sample solution (mg/mL)
Acceptance criteria: 90%–110%

PERFORMANCE TESTS

Dissolution (711)

Medium: 0.1 N hydrochloric acid; 900 mL

Apparatus 1: 100 rpm

Time: 30 min

Detector: UV 293 nm

Standard stock solution: 0.57 mg/mL of USP Levofloxacin RS in Medium

Standard solution: Dilute the Standard stock solution with Medium to obtain solutions with final concentrations as given in Table 1.

Table 1

Tablet Strength (mg)	Final Concentration (μg/mL)
250	5.7
500	5.7
750	8.6

Sample solution: Pass a 10-mL portion through a filter of 0.45-μm pore size, and dilute with Medium to a concentration that is similar to the appropriate Standard solution.

Pathlength: 1 cm

Blank: Medium

Analysis

Samples: Standard solution and Sample solution
Calculate the percentage of levofloxacin ($C_{18}H_{20}FN_3O_4$) dissolved:

$$\text{Result} = (A_u/A_s) \times C_s \times D \times V \times (100/L)$$

A_u = absorbance of the Sample solution

A_s = absorbance of the Standard solution

C_s = concentration of the Standard solution (mg/mL)

D = dilution factor for the Sample solution

V = volume of Medium, 900 mL

L = label claim (mg/Tablet)

Tolerances: NLT 80% (Q) of the labeled amount of levofloxacin ($C_{18}H_{20}FN_3O_4$) is dissolved.

- UNIFORMITY OF DOSAGE UNITS (905):** Meet the requirements

IMPURITIES

ORGANIC IMPURITIES

Buffer: Dissolve 4 g of ammonium acetate and 7 g of sodium perchlorate in 1 L of water. Add 2 mL of triethylamine. Adjust with phosphoric acid to a pH of 6.6.

Diluent: Acetonitrile and Buffer (20:80)

Solution A: Acetonitrile and Buffer (2:98)

Solution B: Acetonitrile and water (90:10)

Mobile phase: See Table 2.

Table 2

Time (min)	Solution A (%)	Solution B (%)
0	90	10
2	90	10
15	85	15
35	70	30
40	60	40
45	50	50
46	90	10
55	90	10

Standard solution: 3 μg/mL of USP Levofloxacin RS and 2 μg/mL each of USP Levofloxacin Related Compounds A, B, and C in Diluent. Sonicate to aid in dissolution.

Sample solution: 1.0 mg/mL of levofloxacin in Diluent from NLT 20 powdered Tablets. Centrifuge a portion of the solution for about 10 min. Pass a portion through a suitable filter.

This monograph has been developed under USP's Pending Monographs Guideline and is not a USP-NF monograph.

<http://www.usp.org>
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GENERAL CONCEPTS

Limited Data Available at Filing

- When only limited data are available, the initially approved tests and acceptance criteria should be reviewed as more information is collected, with a view towards possible modification.
- This could involve loosening, as well as tightening, acceptance criteria as appropriate.

Limited Data Available at Filing 2250519-01

لا تقدم طلب لـ FDA حتى تتأكدوا دواء محتمل يمكن هذا الدواء
 من ماسي على الحائضين، لا يمكن قبول بشكل دقيق
 مثال، إذا كان في شوائب داخل المواد، ما اردوا هو دواء في البداية
 عن بتدريج يحصلوا هذا الشيء بعد ذلك مع الخبرة بالتمنيع.

مع الوقت، لما يصير في خبرة بتمنيع المواد والخبرة نضاد، عن يعدلوا
 معايير الجودة حتى تصير أكثر دقة حسب الحاجة.
 يعني عن تكون صارفة إذا ما كان في دقة، وعن تكون أقل صارفة
 إذا المنتج مخالف وأمن، Tightening or Loosening.

GENERAL CONCEPTS

Parametric Release

- **Parametric release** can be used as an operational alternative to routine release testing for the drug product in certain cases when approved by the regulatory authority.
- Sterility testing for terminally sterilized drug products is one example. In this case, the release of each batch is based on satisfactory results from monitoring specific parameters, e.g., temperature, pressure, and time during the terminal sterilization phase(s) of drug product manufacturing.
- These parameters can generally be more accurately controlled and measured, so that they are more reliable in predicting sterility assurance than is end-product sterility testing.

GENERAL CONCEPTS

Parametric Release

- Appropriate laboratory tests (e.g., chemical or physical indicator) may be included in the parametric release program.
- It is important to note that the sterilization process should be adequately validated before parametric release is proposed and maintenance of a validated state should be demonstrated by revalidation at established intervals.
- When parametric release is performed, the attribute which is indirectly controlled (e.g., sterility), together with a reference to the associated test procedure, still should be included in the specifications.

* parametric release.

هو صموح، ببس مش فحبيب، اسمه parametric يعني إله دخل بالحرارة
 وهماي الأمور... غندي معايسر (الحرارة، الوقت، الضغط) بقدر اتحكم فيها
 عند التعقيم... عشان هيك معتمد عليها لانه موثوقيتها عالية جدًا...
 بدل ما أروح أغل أقنار تعقيم على كل دفعة للمنتج النهائي.

GENERAL CONCEPTS

Alternative Procedures

- Alternative procedures are those which may be used to measure an attribute when such procedures control the quality of the drug substance or drug product to an extent that is comparable or superior to the official procedure.

Example:

- For tablets that have been shown not to degrade during manufacture, it may be permissible to use a spectrophotometric procedure for release as opposed to the official procedure, which is chromatographic.
- However, the chromatographic procedure should still be used to demonstrate compliance with the acceptance criteria during the shelf-life of the product.

GENERAL CONCEPTS

Pharmacopoeial Tests and Acceptance Criteria

- References to certain procedures are found in pharmacopoeias in each region. Wherever they are appropriate, pharmacopoeial procedures should be utilized.

Evolving Technologies

- New analytical technologies, and modifications to existing technology, are continually being developed. Such technologies should be used when they are considered to offer additional assurance of quality, or are otherwise justified.

GENERAL CONCEPTS

Impact of Drug Substance on Drug Product Specifications

- In general, it should not be necessary to test the drug product for quality attributes uniquely associated with the drug substance.

Example:

- It is normally **not considered necessary** to test the drug product for **synthesis impurities which are controlled in the drug substance** and are not degradation products.

- Alternative procedures

مثلاً Drug Assay لدواء الـ paracetamol يتبع HPLC method ولكن خلال عملية التصنيع أنا بيدي قرار سريع... الـ HPLC method process كثير طويل... أنا بيدي ياها شي خلال نصف ساعة مثلاً... يروهموا يستخدموا alternative method مثلاً الـ UV بطني نتيجة سريعة جداً... مثلاً هل الـ range للدواء هاد بين 80-90 مثلاً؟ هو هاد ما يغني عن الـ HPLC method ولكن في حالات الطوارئ بصبه... ذي Test صباي.

* Impact Drug Substance

الـ Synthesis impurities بقيسها بيس للـ Drug Substance ولكن إذا كانت نفسها Degradation لازم يصيرها quantification طول وحتى الـ Finished product... يعني أنا عندي impurities للبترين مثلاً فكان الـ Limit تاعها 0.1 وسموع وناجحة... خلص عليك بتركها... هاد المسوع الجعي... بيس لازم تقدم إجابات لو سألك... إنه هاي Degradation process

المقصد إنه إذا كان الشوائب الناتجة من عملية تصنيع المادة الفعالة مراقبة ومتحكم فيها... فمفش من الضروري اضبط المنتج عندي... بيس لو المادة تقوي على Degradation هون لازم اضبط المنتج تاعني لأننا النحل حبيز لدواء عندي.

GENERAL CONCEPTS

Reference Standard

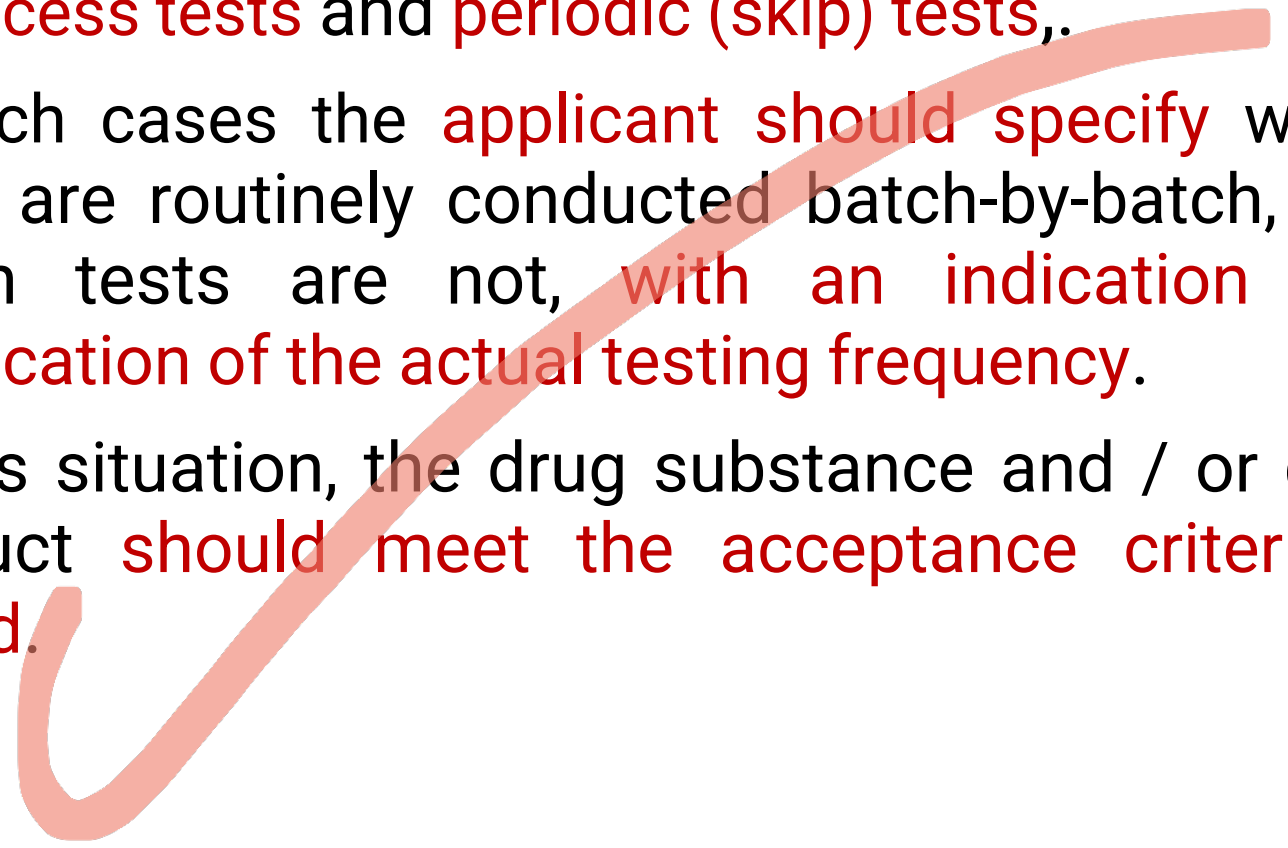
- A reference standard, or reference material, is a substance prepared for use as the standard in an assay, identification, or purity test.
- It should have a quality appropriate to its use.
- It is often characterized and evaluated for its intended purpose by additional procedures other than those used in routine testing.
- For new drug substance reference standards intended for use in assays, the impurities should be adequately identified and / or controlled, and purity should be measured by a quantitative procedure.

* Reference Standard

٤٠ هي مواد impure بنسبة ٠.٩٩.٩٩ من paracetamol على
سبيل المثال .. اسمها المعيار الرئيسي .. أو المرجعي .. يعني أي
شيء بذك نقله لـ paracetamol بذك نقله إلى Standardisation
والـ reference standard .. تبينك يا ر FIDA مخنوم مع شهاقة ..
فهو ٠.١٠٠ paracetamol .. هو معيار تقاربت فيه .. بكل شيء .

Guidelines

Specifications

- A specification may list, in addition to release tests **in-process tests** and **periodic (skip) tests**,.
 - In such cases the **applicant should specify** which tests are routinely conducted batch-by-batch, and which tests are not, **with an indication and justification of the actual testing frequency**.
 - In this situation, the drug substance and / or drug product **should meet the acceptance criteria if tested**.
- 

Justification of Specifications

- When a specification is first proposed, justification should be presented for each procedure and each acceptance criterion included.
- The justification should refer, as appropriate, to:
 - relevant development data,
 - pharmacopoeial standards,
 - test data for drug substances and drug products used in toxicology and clinical studies,
 - results from accelerated and long term stability studies.

عند وضع مواصفات لأي منتج دوائي لأول مرة، يجب شرح سبب اختيار كل اختبار ومعيار قبول لهذه المواصفات. هذا الشرح يعتمد على بيانات التطوير والمعايير الدوائية، وكذلك نتائج الاختبارات السابقة مثل تلك المتعلقة بالأمان والفعالية والثباتية للمنتج الدوائي.

Justification of Specifications

- Test results from **stability and scale-up / validation batches**, with emphasis on the primary stability batches, should be considered in setting and justifying specifications.
- It should be noted that changes in the specification after approval of the application may need prior approval by the regulatory authority.

يجب أخذ نتائج اختبارات الثباتية والدفعات الكبيرة/دفعات التحقق بعين الاعتبار عند تحديد وتبرير المواصفات، خاصة الدفعات الأساسية. ويجب الانتباه إلى أن أي تغييرات في المواصفات بعد الموافقة على التطبيق قد تتطلب موافقة مسبقة من الجهات التنظيمية.

بإستثناء ال hardness و friability مش مطلوب منك تحطهم على ال specification

Universal Tests			
New Drug Substances		New Drug products	
هون عامل ملخص الفرق بين ال universal test وال specific		Description	
		Identification	
		Assay	
		Impurities	
Specific Tests			
New Drug Substances		New Drug products	
	Solid oral drug products	Oral liquids	Parenteral Drug Products
Water content	Water content	Water content	Water content
Particle size		Particle size distribution	Particle size distribution
			Particulate matter
	Uniformity of dosage units	Uniformity of dosage units	Uniformity of dosage units
	Dissolution	Dissolution	
	Disintegration		
Physicochemical properties		pH	<u>Osmolarity</u>
Polymorphic forms	Hardness/friability		
		<u>Extractables</u>	
Tests for chiral new drug substances			
Microbial limits	Microbial limits	Microbial limits	Sterility
		Antimicrobial preservative content	Antimicrobial preservative content
			<u>Endotoxins/Pyrogens</u>
		<u>Redispersibility</u>	<u>Redispersibility</u>
Inorganic impurities			
		Reconstitution time	Reconstitution time
		Antioxidant preservative content	<u>Extractables</u>

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Universal Tests / Criteria : New Drug Substances & Products

a) *Description*:

For drug substance: a qualitative statement about the state (e.g. solid, liquid) and color of the new drug substance.

For drug product: A qualitative description of the dosage form should be provided (e.g., size, shape, and color).

➤ If any of these characteristics change during storage, this change should be investigated and appropriate action taken.

Universal Tests / Criteria : New Drug Substances & products

b) Identification

- Identification testing should optimally be able to discriminate between compounds of closely related structure which are likely to be present.
- Identification tests should be specific for the new drug substance, e.g., infrared spectroscopy.
- Identification solely by a single chromatographic retention time, for example, is not regarded as being specific.

Universal Tests / Criteria : New Drug Substances

b) Identification

- However, the use of two chromatographic procedures, where the separation is based on different principles or a combination of tests into a single procedure, such as HPLC/UV diode array, HPLC/MS, or GC/MS is generally acceptable.
- If the new drug substance is a salt, identification testing should be specific for the individual ions. An identification test that is specific for the salt itself should suffice.

e.g. to distinguish between diclofenac sodium
and diclofenac potassium

Universal Tests / Criteria : New Drug Substances

b) Identification

- New drug substances which are optically active may also need specific identification testing or performance of a chiral assay.

Examples:

L-Dopa (D-dopa is inactive)

Levofloxacin is the active optical isomer of ofloxacin

Levocetirizine is the active enantiomer of cetirizine

Identification testing for drug product should establish the identity of the new drug substance(s) in the new drug product

Universal Tests / Criteria : New Drug Substances and products

c) *Assay*

A **specific, stability-indicating procedure** should be included to determine the content of the drug in new drug substance and new drug products.

In many cases it is possible to employ the same procedure (e.g., HPLC) for both assay of the new drug substance/product and quantitation of impurities.

- Results of content uniformity testing for new drug products can be used for quantitation of drug product strength, if the methods used for content uniformity are also appropriate as assays.

Universal Tests / Criteria : New Drug Substances and products

c) *Assay*

In cases where use of a non-specific assay is justified, other supporting analytical procedures should be used to achieve overall specificity.

For example, where titration is adopted to assay the drug substance, the combination of the assay and a suitable test for impurities should be used.

- A specific procedure should be used when there is evidence of excipient interference with the non-specific assay.

Universal Tests / Criteria : New Drug Substances

d) *Impurities:*

Impurities in new drug substances can be classified into the following categories (ICH Q3A):

1. Organic impurities

- Starting materials
- By-products
- Intermediates
- Degradation products
- Reagents, ligands and catalysts

2. Inorganic impurities

- Reagents, ligands and catalysts
- Heavy metals or other residual metals
- Inorganic salts
- Other materials (e.g., filter aids, charcoal)

Universal Tests / Criteria : New Drug Substances

d) *Impurities:*

3. Residual solvents

Class 1 solvents: Solvents to be avoided

- Known human carcinogens, strongly suspected human carcinogens, and environmental hazards.
- E.g. Benzene, CCl₄

Class 2 solvents: Solvents to be limited

- Non-genotoxic animal carcinogens or possible causative agents of other irreversible toxicity such as neurotoxicity or teratogenicity.
- Solvents suspected of other significant but reversible toxicities.
- E.g. Acetonitrile, Chloroform, Methanol, Dichloromethane, hexane

Class 3 solvents: Solvents with low toxic potential

- Solvents with low toxic potential to man; no health-based exposure limit is needed. Class 3 solvents have permitted daily exposure (PDE)s of 50 mg or more per day.
- E.g. Acetic acid, Ethanol, Ethyl acetate, Dimethyl sulfoxide, Acetone

Universal Tests / Criteria : New Drug products

d) impurities:

- Organic and inorganic impurities (degradation products) and residual solvents are included in this category.
- Organic impurities arising from degradation of the new drug substance and impurities that arise during the manufacturing process for the drug product should be monitored in the new drug product.
- Process impurities from the new drug substance synthesis are normally controlled during drug substance testing, and therefore are not included in the total impurities limit.
- However, when a synthesis impurity is also a degradation product, its level should be monitored and included in the total degradation product limit.

Universal Tests / Criteria : New Drug products

d) impurities:

- Acceptance limits should be stated for individual specified degradation products, which may include both **identified** and **unidentified** degradation products as appropriate, **and total degradation products**.
- When it has been conclusively demonstrated via appropriate analytical methodology, that the drug substance does not degrade in the specific formulation, and under the specific storage conditions proposed in the new drug application:
 - > degradation product testing may be reduced or eliminated upon approval by the regulatory authorities.

Specific Tests / Criteria : New Drug Substances

The following tests may be considered on a case by case basis for drug substances.

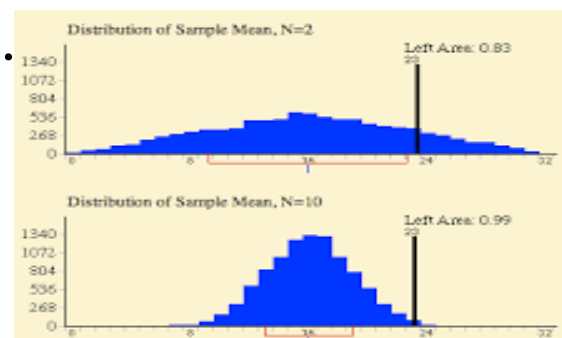
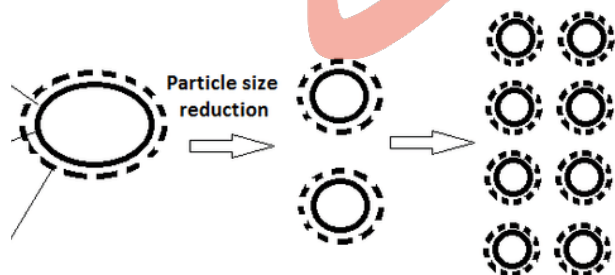
a) Physicochemical properties:

e.g. pH of an aqueous solution, melting point / range, and refractive index.

b) Particle size:

For some new drug substances intended for use in solid or suspension drug products, particle size can have a significant effect on **dissolution rates**, **bioavailability**, and / or **stability**.

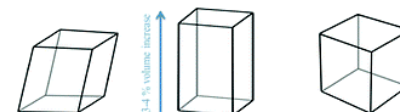
In such instances, procedure for testing of size distribution and acceptance criteria should be provided.



Specific Tests / Criteria : New Drug Substances

c) Polymorphic forms:

In cases where different crystal forms exist which have been shown to affect drug product performance, bioavailability or stability, then the appropriate solid state should be specified.



Example: Part of Chloramphenicol monograph in USP

Melting range <741>: between 149° and 153°.

Specific rotation <781S>: between +17.0° and +20.0°.

Test solution: 50 mg, undried, per mL, in dehydrated alcohol.

Crystallinity <695>: meets the requirements.

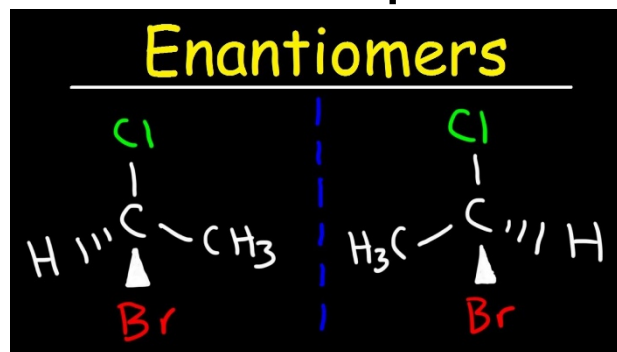
Bacterial endotoxins <85>—Where Chloramphenicol is intended for use in preparing injectable dosage forms, it contains

Specific Tests / Criteria : New Drug Substances

d) Tests for chiral new drug substances:

For a drug substance developed as a single enantiomer:

1. The identity test(s) should be capable of distinguishing both enantiomers and the racemic mixture.
2. An enantioselective determination of the drug substance should be part of the specification.
3. Control of the other enantiomer should be considered in the same manner as for other impurities.



Specific Tests / Criteria : New Drug Substances

e) Water content: This test is important in cases where the new drug substance is known to be:

1. hygroscopic
2. degraded by moisture
3. is a hydrate.

The acceptance criteria may be justified with data on the effects of hydration or moisture absorption.

In some cases, a Loss on Drying procedure may be considered adequate; however, a detection procedure that is specific for water (e.g., Karl Fischer titration) is preferred.

Specific Tests / Criteria : New Drug Substances

f) Inorganic impurities:

The need for inclusion of tests and acceptance criteria for inorganic impurities (e.g., catalysts) should be studied during development and based on knowledge of the manufacturing process.

Procedures and acceptance criteria for:

- A. **sulfated ash / residue on ignition** should follow pharmacopoeial precedents;
- B. **other inorganic impurities** may be determined by other appropriate procedures, **e.g., atomic absorption spectroscopy.**

Specific Tests / Criteria : New Drug Substances

g) **Microbial limits**: There may be a need to specify:

- the total count of aerobic microorganisms
 - the total count of yeasts and molds
 - the absence of specific objectionable bacteria (e.g., *Staphylococcus aureus*, *Escherichia coli*, *Salmonella*, *Pseudomonas aeruginosa*).
- These should be suitably determined using pharmacopoeial procedures.
- The type of microbial test(s) and acceptance criteria should be based on the
1. nature of the drug substance,
 2. method of manufacture,
 3. the intended use of the drug product:
 - sterility testing may be appropriate for drug substances manufactured as sterile
 - endotoxin testing may be appropriate for drug substances used to formulate an injectable drug product.